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Group 2100

Prior Foreign or Provisional Application(s)			DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
COUNTRY	APPLICATION NUMBER			YES ___ NO ___
				YES ___ NO ___
				YES ___ NO ___

of any United States application(s) listed below and, insofar as the subject matter of any such application(s) is not provided by the first paragraph of claim 1 of the present application, the subject matter of such application(s) is hereby incorporated by reference into the present application.

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

to "170 of any United States application(s) listed below and, insofar as the subject matter is provided by the first paragraph of said 35 U.S.C. 119(a)(1), the date of filing of such foreign application(s) shall be deemed to be the date of filing of the corresponding United States application(s)."

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

SEND CORRESPONDENCE TO: OSTROLENIK, FARER, GERB & SOFFEN, LLP DIRECT TELEPHONE CALLS TO:  
1180 AVENUE OF THE AMERICAS (212) 382-0700  
NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 23552

SEND CORRESPONDENCE TO: OSTROM, ROBERT E.  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR'S SIGNATURE	DATE
----------------------	------

FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN		INVENTOR'S SIGNATURE	DATE
COUNTRY OF CITIZENSHIP			

RESIDENCE (City and either State or Foreign Country)  
West Orange, New Jersey

West Orange, New Jersey 07052  
POST OFFICE ADDRESS  
275 Northfield Avenue, West Orange, New Jersey 07052  
DATE 10/16/00  
INVESTIGATOR'S SIGNATURE

275 Northfield Ave.	INVENTOR'S SIGNATURE <i>Steven Iacchio</i>	10/16/00
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		COUNTRY OF CITIZENSHIP United States

Steven IACCHIO	United States
RESIDENCE (City and either State or Foreign Country)	
Lincoln Park, New Jersey	

Lincoln Park, New Jersey 07035

POST OFFICE ADDRESS

41 West William Street, Lincoln Park, New Jersey 07035

INVENTOR'S SIGNATURE

DATE

41 West William Street	INVENTOR'S SIGNATURE	
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) Neena JAIN		COUNTRY OF CITIZENSHIP United Kingdom

Neena JAIN	United Kingdom
RESIDENCE (City and either State or Foreign Country)	
South Plainfield, New Jersey	Jersey 07080

POST OFFICE ADDRESS  
3756 Victory Street, South Plainfield, New Jersey 07080

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OFGS FILE NO. 173331-141

**UNITED STATES OF AMERICA**  
**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM**

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 23, 2000 as United States patent Application Number or PCT International patent application number 09/603,389 and was amended on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate having States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having date before that of the application on which priority is claimed:

Print Foreign or Provisional Application(s)	COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
				YES ___ NO ___
				YES ___ NO ___
				YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

JAN 10 2001

Group 2-00

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Derliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finner - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmicki - Reg. No. 30,625 and Douglas A. Miru - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:  
 1180 AVENUE OF THE AMERICAS  
 NEW YORK, NEW YORK 10036-8403  
 CUSTOMER NO. 2352 (212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR <b>Vladimir NEYMAN</b>	INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>West Orange, New Jersey</b>		COUNTRY OF CITIZENSHIP
POST OFFICE ADDRESS <b>275 Northfield Avenue, West Orange, New Jersey 07052</b>		
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) <b>Steven IACCHEO</b>	INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>Lincoln Park, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States</b>
POST OFFICE ADDRESS <b>41 West William Street, Lincoln Park, New Jersey 07035</b>		
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) <b>Neena JAIN</b>	INVENTOR'S SIGNATURE <i>Neena</i>	DATE <b>Oct 13, 2000</b>
RESIDENCE (City and either State or Foreign Country) <b>South Plainfield, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United Kingdom</b>
POST OFFICE ADDRESS <b>3756 Victory Street, South Plainfield, New Jersey 07080</b>		

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OFGS FILE NO. 1/3331-141

UNITED STATES OF AMERICA  
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

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Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC §119
			YES ___ NO ___
			YES ___ NO ___
			YES ___ NO ___

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Welner - Reg. No. 18,510; Jerome M. Decliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberman - Reg. No. 22,400; Steven I. Welsburg - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625 and Douglas A. Miro - Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

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NEW YORK, NEW YORK 10036-8403  
CUSTOMER NO. 2352

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FULL NAME OF SOLE OR FIRST INVENTOR		INVENTOR'S SIGNATURE		DATE	
Vladimir NEYMAN					
RESIDENCE (City and either State or Foreign Country)				COUNTRY OF CITIZENSHIP	
West Orange, New Jersey					
POST OFFICE ADDRESS					
275 Northfield Avenue, West Orange, New Jersey 07052					
FULL NAME OF SECOND JOINT INVENTOR (IF ANY)		INVENTOR'S SIGNATURE		DATE	
Steven IACCHEO					
RESIDENCE (City and either State or Foreign Country)				COUNTRY OF CITIZENSHIP	
Lincoln Park, New Jersey				United States	
POST OFFICE ADDRESS					
41 West William Street, Lincoln Park, New Jersey 07035					
FULL NAME OF THIRD JOINT INVENTOR (IF ANY)		INVENTOR'S SIGNATURE		DATE	
Neena JAIN					
RESIDENCE (City and either State or Foreign Country)				COUNTRY OF CITIZENSHIP	
South Plainfield, New Jersey				United Kingdom	
POST OFFICE ADDRESS					
3756 Victory Street, South Plainfield, New Jersey 07080					

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OFGS FILE NO. 1/3331-141

UNITED STATES OF AMERICA  
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are, as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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NEW YORK, NEW YORK 10036-8403  
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FULL NAME OF SOLE OR FIRST INVENTOR <b>Vladimir NEYMAN</b>		INVENTOR'S SIGNATURE <i>Vladimir Neyman</i>	DATE <b>11/18/00</b>
RESIDENCE (City and either State or Foreign Country) <b>West Orange, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>USA</b>	
POST OFFICE ADDRESS <b>275 Northfield Avenue, West Orange, New Jersey 07052</b>			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) <b>Steven IACCHEO</b>		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>Lincoln Park, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United States</b>	
POST OFFICE ADDRESS <b>41 West William Street, Lincoln Park, New Jersey 07035</b>			
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) <b>Neena JAIN</b>		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) <b>South Plainfield, New Jersey</b>		COUNTRY OF CITIZENSHIP <b>United Kingdom</b>	
POST OFFICE ADDRESS <b>3756 Victory Street, South Plainfield, New Jersey 07080</b>			

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